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I hereby Certify that this Correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on November 20, 2003  
Martin G. Linihan  
Name Martin G. Linihan  
Signature  
November 20, 2003  
Date of Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ronald M. McAlpine et al.

Serial No.: 09/997,696

Filed: November 30, 2001

For: Photomultiplier

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(e)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Applicant wishes to make of record the following prior art under the provisional of 37 C.F.R.1.97:

Prior Art Cited

Please see attached list and copies enclosed.

Statement of Relevancy

The prior art identified on the attached list was cited in the Official Search report in applicant's corresponding

11/28/2003 WABDELRI 00000052 09997696

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United Kingdom Patent Application. Payment of the fee under 37  
CFR 1.17(p) also is enclosed.

Respectfully submitted,

A handwritten signature in cursive script, reading "Martin G. Linihan", written over a horizontal line.

Martin G. Linihan

Reg. No. 24,926

Hodgson Russ LLP  
One M&T Plaza, Suite 2000  
Buffalo, NY 14203  
(716) 848-1367  
Date: November 20, 2003

## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Application Number	09/997,696
Filing Date	November 30, 2001
First Named Inventor	Ronald M. McAlpine et al..
Group Art Unit	
Examiner Name	
Attorney Docket Number	18872.0113

Sheet

of

Attorney Docket Number

18872.0113

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

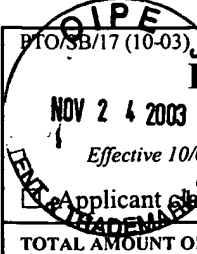
[illegible]

**Examiner  
Signature**

Date

**Considered**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

		PTO/SB/17 (10-03) Approved for use through 07/31/2006, OMB 0651-0032		Application Number 09/997,696	
<b>FEE TRANSMITTAL</b> <b>for FY 2004</b>				Filing Date November 30, 2001	
Effective 10/01/2003. Patent Fees are subject to annual revision.				First Named Inventor Ronald M. McAlpine et al.	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.				Examiner Name	
TOTAL AMOUNT OF PAYMENT (\$180.0)				Group/Art Unit	
				Attorney Docket Number 18872.0113	

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)									
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input type="checkbox"/> Deposit Account: Deposit Account Number: 08-2442 Deposit Account Name: Hodgson Russ LLP The Director is hereby authorized to (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Charge any fee deficiencies or credit any overpayments <input type="checkbox"/> Charge any additional fees during pendency of this application. <input type="checkbox"/> Charge fees indicated below, except for the filing fee to the above-identified deposit account		3. ADDITIONAL FEES									
		Large Entity		Small Entity		Fee Description	Fee Paid				
		Fee Code	Fee (\$)	Fee Code	Fee (\$)						
		1051	130	2051	65	Surcharge - late filing fee or oath		\$			
		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		\$			
		1053	130	1053	130	Non-English specification		\$			
		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination		\$			
FEE CALCULATION		1804	920*	1804	920*	Requesting Publication of SIR prior to Examiner Action		\$			
1. BASIC FILING FEE		1805	1,840*	1805	1,840*	Requesting Publication of SIR after Examiner Action		\$			
Large Entity	Small Entity										
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid						
1001	770	2001	385	Utility filing fee	\$	1251	110	2251	55	Extension for reply within first month	\$
1002	340	2002	170	Design filing fee	\$	1252	420	2252	210	Extension for reply within second month	\$
1003	530	2003	265	Plant filing fee	\$	1253	950	2253	475	Extension for reply within third month	\$
1004	770	2004	385	Reissue filing fee	\$	1254	1,480	2254	740	Extension for reply within fourth month	\$
1005	160	2005	80	Provisional filing fee	\$	1255	2,010	2255	1,005	Extension for reply within fifth month	\$
SUBTOTAL (1)					\$0	1401	330	2401	165	Notice of Appeal	\$
2. EXTRA CLAIM FEES FOR UTILITY/ REISSUE					Fee Paid	1402	330	2402	165	Filing a brief in support of an appeal	\$
Extra Claims below						1403	290	2403	145	Request for oral hearing	\$
Total Claims / / -20** = / / x / / =					\$	1451	1,510	1451	1,510	Petition to institute a public use proceeding	\$
Independent Claims / / - 3** = / / x / / =					\$	1452	110	2452	55	Petition to revive - unavoidable	\$
Multiple dependent / / x / / =					\$	1453	1,330	2453	665	Petition to revive - unintentional	\$
Large Entity Small Entity						1501	1,330	2501	665	10 advance copies	\$
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Utility issue fee (or reissue)					\$
1202	18	2202	9	Claims in excess of 20		1502	480	2502	240	Design issue fee	\$
1201	86	2201	43	Independent claims in excess of 3		1503	640	2503	320	Plant issue fee	\$
1203	290	2203	145	Multiple dependent claim if not paid		1460	130	1460	130	Petitions to the Commissioner	\$
1204	86	2204	43	**Reissue independent claims over original patent		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	\$
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent		1806	180	1806	180	Submission of Information Disclosure Statement	\$180
SUBTOTAL (2)					\$0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	\$
SUBMITTED BY:						1809	770	2809	385	Filing a submission after final rejection(37 CFR 1.129(a))	\$
Martin G. Linihan (Reg. No. 24,928)						1810	770	2810	385	For each add'l invention to be examined(37 CFR 1.129(b))	\$
SIGNATURE						1801	770	2801	385	Request For Continued Examination (RCE)	\$
DATE: November 20, 2003 Telephone: (716) 848-1367						1802	900	1802	900	Request for Expedited Examination of a design application	\$
						Other fee (specify) _____					\$
						*Reduced by basic filing fee paid					\$180
						SUBTOTAL (3)					\$180

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Martin G. Linihan  
Name

Signature

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